

1910 Palomar Point Way • Suite 200 • Carlsbad, CA 92008 • 760.444.5254 / 1.800.477.7853 • www.sprattglobal.com Member SIPC/FINRA

Client Name _____ Account Number(s) _____

It is my understanding that pursuant to Spratt Global Resource Investments Ltd.'s ("Spratt Global") Privacy Policy, Spratt Global does not share information about me or my account(s) with any person or entity without my express written consent except where permitted by law. Nevertheless, as a client, I understand that in order to provide the highest level of service to me and to ensure my investment interests are being served at all times, it may become beneficial for Spratt Global to share information orally about my account(s) with certain individuals to whom I grant authority.

Based on the aforementioned, I hereby authorize Spratt Global to provide oral information regarding any of my accounts (the "Accounts") as listed above to the authorized party(ies) listed below. Such oral information includes, without limitation, conversations with Spratt Global representatives and information related to positions, balances and trades. I understand that the authorized party to whom such oral information is given is not subject to the Spratt Global privacy policy, and that once such oral information is released to the authorized party, Spratt Global does not have the obligation or the ability to restrict further releases of the information by such party. I hereby release and discharge Spratt Global from all claims, damages, losses, costs and expenses that may arise from or relate to the release of the oral information to the authorized party.

I understand that this form does not constitute a trading authorization or power of attorney form and does not authorize third parties to make any investment decisions on my behalf regarding my Spratt Global account(s) nor does this form obligate Spratt Global to initiate the sharing of account information with authorized parties. Moreover, this form does not authorize Spratt Global Resource Investments Ltd. to release written documentation concerning my account(s). It is further understood that this authorization will remain in force until such time as I provide written instructions to the contrary or legal documents are submitted instructing Spratt Global of the existence of an additional or an alternative authorized third party to the account.

Name(s) of Authorized Party(ies):

Relationship to Account Owner:

Client Signature: _____ Date: _____

Client Signature: _____ Date: _____

Rep Signature: _____ Date: _____

Compliance Signature: _____ Date: _____